

# Automatic Asymptotics in Isabelle/HOL

Manuel Eberl

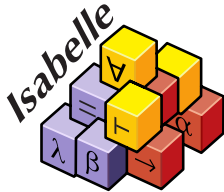
Technische Universität München

8 June 2017

# Agenda

1. What is Isabelle?
2. My work

# What is Isabelle?



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HOL contains TND and choice, and many tools use them!



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(Reality is a bit more complicated)

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- ▶ Code export to SML/OCaml/Scala/Haskell

## Isar

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## Example

```
lemma closed_imp_lims_in_set:
  fixes A :: ('a :: metric_space) set
  assumes <closed A> and <range f  $\subseteq$  A> and <f  $\longrightarrow$  x>
  shows <x  $\in$  A>
proof
  assume <x  $\notin$  A>
  from <closed A> have <open (-A)>
  with <x  $\notin$  A> obtain  $\epsilon$  where < $\epsilon > 0\forall x'$ . dist x' x <  $\epsilon \longrightarrow x' \notin A$ >
  moreover from <f  $\longrightarrow$  x> and < $\epsilon > 0\epsilon$ >
  ultimately have <f n  $\notin$  A>
  with <range f  $\subseteq$  A> show False
qed
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# Isar

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  shows <x  $\in$  A>
proof (rule ccontr)
  assume <x  $\notin$  A>
  from <closed A> have <open (-A)> by auto
  with <x  $\notin$  A> obtain  $\epsilon$  where < $\epsilon > 0\forall x'$ . dist x' x <  $\epsilon \longrightarrow x' \notin A$ >
    by (auto simp: dist_norm open_dist)
  moreover from <f  $\longrightarrow$  x> and < $\epsilon > 0\epsilon$ >
    by (auto simp: tendsto_iff eventually_at_top_linorder)
  ultimately have <f n  $\notin$  A> by auto
  with <range f  $\subseteq$  A> show False by auto
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The *Archive of Formal Proofs* contains even more material that is continuously kept up-to-date.



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- ▶ seL4 microkernel (Klein *et al.*, Isabelle, 2010)
- ▶ LTL model checker (Esparza *et al.*, Isabelle, 2013)

My Work

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- ▶ Solving linear recurrences
- ▶ Euler–MacLaurin formula
- ▶ Asymptotics of factorial,  $\Gamma$ ,  $\psi^{(n)}$ , erf,  $H_n$

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What could be a suitable ambitious new project?

Problem: Asymptotics in Isabelle are ugly to prove!

Example: Lemma required for Akra–Bazzi

$$\lim_{x \rightarrow \infty} \left( 1 - \frac{1}{b \log^{1+\varepsilon} x} \right)^p \left( 1 + \frac{1}{\log^{\varepsilon/2} \left( bx + \frac{x}{\log^{1+\varepsilon} x} \right)} \right) -$$
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Original author: 'Trivial, just Taylor-expand it!'

In Isabelle: 700 lines of messy proofs

**lemma** akra\_bazzi\_aux:

filterlim

$$\begin{aligned} & (\lambda x. (1 - 1/(b * \ln x^{(1 + \varepsilon))})^p) * \\ & \quad (1 + \ln (b * x + x/\ln x^{(1 + \varepsilon)}))^{(-\varepsilon/2)} - \\ & \quad (1 + \ln x^{(-\varepsilon/2)})) \\ & (\text{at\_right } 0) \text{ at\_top} \end{aligned}$$

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Computer Algebra Systems can do this (sort of)

So why can't we?

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- ▶ I will not show actual Isabelle code for better readability.

## Related Work

- ▶ *Asymptotic Expansions of exp-log Functions* by Richardson, Salvy, Shackell, van der Hoeven
- ▶ *On Computing Limits in a Symbolic Manipulation System* by Gruntz

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If the limits are  $\in \mathbb{R}$ : **Obvious**.

**But:**  $\infty - \infty = ?$   $0 \cdot \infty = ?$

We need to track more information than just the limit!

We need the *full asymptotic information*

## Asymptotic Expansions

For  $x \rightarrow 0$ , we have:

$$e^x \sim 1 + x + \frac{1}{2}x^2 + \frac{1}{6}x^3 + \dots$$

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They can be added/subtracted/multiplied/divided.

Limits can simply be 'read off'

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Solution: later

For now, we only consider expansions of the form

$$f(x) \sim c_0 x^{e_0} + c_1 x^{e_1} + \dots$$

where  $e_0 > e_1 > \dots$

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$[] + ys = ys$

$xs + [] = xs$

$((c_1, e_1) :: xs) + ((c_2, e_2) :: ys)$

|  $e_1 == e_2 = (c_1 + c_2, e_1) :: xs + ys$

|  $e_1 < e_2 = (c_1, e_1) :: xs + ((c_2, e_2) :: ys)$

|  $e_1 > e_2 = (c_2, e_2) :: ((c_1, e_1) :: xs) + ys$

## Asymptotic Expansions – Multiplication

Multiplication with 'atomic' factor  $c'x^{e'}$ :

scale :  $\mathbb{R} \rightarrow \mathbb{R} \rightarrow \text{Exp} \rightarrow \text{Exp}$

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$$((c_1, e_1) :: xs) * ((c_2, e_2) :: ys) =$$

$$(c_1 * c_2, e_1 + e_2) :: \text{scale } c_1 \ e_1 \ ys + xs * ((c_2, e_2) :: ys)$$

## Asymptotic Expansions – Power Series

Many functions have local power series expansions:

$$g(x) = \sum_{n=0}^{\infty} c_n x^n \quad (\text{for } |x| < R)$$

e. g.  $c_n := 1/n!$  for the exponential function.

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power :  $\mathbb{R}$  list  $\rightarrow$  Exp  $\rightarrow$  Exp

power (c :: cs) xs = (c, 0) :: xs \* power cs xs

## Asymptotic Expansions – Reciprocal

Consider  $f(x)$  with expansion  $(c, e) :: xs$  where  $c \neq 0$ , i. e.

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where  $g(x)$  expands to  $xs$ .

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Note the geometric series:

$$(1 + x)^{-1} = \sum_{n=0}^{\infty} (-1)^n x^n$$

## Asymptotic Expansions – Reciprocal

Consider  $f(x)$  with expansion  $(c, e) :: xs$  where  $c \neq 0$ , i. e.

$$f(x) = cx^e + g(x) = cx^e(1 + c^{-1}x^{-e}g(x))$$

where  $g(x)$  expands to  $xs$ . Then:

$$f^{-1}(x) \sim c^{-1}x^{-e} (1 + c^{-1}x^{-e}g(x))^{-1}$$

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Therefore:

$$\begin{aligned} \text{inv } ((c, e) :: xs) &= \text{scale } (1/c) (-e) \\ &(\text{powser } (\text{cycle } [1, -1]) (\text{scale } (1/c) (-e) xs)) \end{aligned}$$

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Before:

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negate : Exp  $\rightarrow$  Exp

negate xs =  $[(-c, e) \mid (c, e) \leftarrow xs]$

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We might have to add  $\ln x$  to our basis!

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- ▶ Can introduce ugly new basis elements like  $\exp(x + 1/x)$
- ▶ Lots of opportunities for implementation bugs
- ▶ Luckily, the Isabelle kernel caught them, of course. :)

## What this looks like in Isabelle

Type  $\alpha$  ms for multiserie with coefficients of type  $\alpha$

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datatype  $\alpha$  ms = MS "( $\alpha \times$  real) llist" "real  $\Rightarrow$  real"
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E.g. expansion of order 3 would be 'real ms ms ms'.

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How do we turn this into a proof method?

# Computing Expansions in Isabelle

# Evaluation

So far, we can write down expansions as HOL terms



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I had to implement lazy evaluation of HOL terms.

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Lazy evaluation framework:

- ▶ set of supported (co-)datatypes and their constructors

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- ▶ bring a term into head-normal form
- ▶ produce an Isabelle theorem of the form  
original term = reduced term

Does not support sharing

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- ▶ Both of these are difficult or even undecidable

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Additional backends (user input/Mathematica/Maple) possible

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- ▶ **In the end:** Trim expansion to determine leading term

## Proof method

With some pre-processing, we can automatically prove statements of the form

- ▶  $f(x) \longrightarrow c$

- ▶  $f(x) \sim g(x)$

- ▶  $f(x) \in L(g(x))$  for any Landau symbol  $L$

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$\sin, \cos, \tan$  at finite points also possible.

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lemma (( $\lambda x. (1 + y/x)^x \longrightarrow \exp y$ ) at_top  
proof (cases  $y = 0$ )  
  case False  
  thus ?thesis by exp_log_asymptotics  
qed simp_all
```

## Example

**lemma**

**assumes**  $c > 1$  and  $k > 0$

**shows**  $(\lambda n. n^k) \in o(\lambda n. c^n)$

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**by** `(exp_log_asymptotics simp: mult_neg_pos)`

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- ▶ Somewhat 'ad-hoc' formalisation

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- ▶ Implementation was tricky to get right



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Maxima and Sage take very long for some of them  
and give wrong result for this:

$$\exp\left(\frac{\log \log (x + e^{\log x \log \log x})}{\log \log \log (e^x + x + \ln x)}\right) \longrightarrow e$$

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Isabelle still isn't a CAS – but we're getting there.

## Future Work

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- ▶ Cannot handle oscillating functions or complex-valued asymptotics
- ▶ User interaction for zeroness tests could be improved

Questions? Demo?